UNITED NATIONS

Framework Convention on Climate Change

Distr.
GENERAL

FCCC/SBSTA/1999/1
22 April 1999

Original: ENGLISH

SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE
Tenth session
Bonn, 31 May - 11 June 1999
Item 2 (a) of the provisional agenda

PROVISIONAL AGENDA AND ANNOTATIONS

Note by the Executive Secretary

I. INTRODUCTION

1. Arrangements have been made for the tenth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) to be held at the Hotel Maritim, Bonn, from 31 May to 11 June 1999. The session is scheduled to be opened by the Chairman at 3 p.m. on Monday, 31 May 1999. The first part of the opening day will be used for informal consultations (see paragraphs 10 and 11 below).

2. The deadlines set by the decisions that constitute the Buenos Aires Plan of Action (decision 1/CP.4)[1] are ambitious and will demand intensive work by the SBSTA at each of its three sessions before the sixth session of the Conference of the Parties (COP 6). As time will be limited at its eleventh session, which will be held in conjunction with COP 5, the SBSTA should aim to arrive at conclusions on as many of the issues before it as possible at its tenth session.

II. PROVISIONAL AGENDA

3. The provisional agenda for the tenth session of the SBSTA, proposed after consultation with the Chairman, is as follows:

1. Opening of the session.

[1] For the full texts of decisions of the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

2. Organizational matters:

   (a) Adoption of the agenda;
   (b) Organization of the work of the session.

3. Cooperation with relevant international organizations:

   (a) Scientific organizations;
   (b) United Nations bodies;
   (c) Other conventions.

4. National communications from Parties included in Annex I to the Convention:

   (a) Guidelines for the preparation of national communications;
   (b) Review process related to greenhouse gas inventories;
   (c) Work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol.

5. Methodological issues:

   (a) Land-use, land-use change and forestry (decision 1/CP.3, paragraph 5 (a));
   (b) Emissions resulting from fuel used for international transportation;
   (c) Other matters.

6. Article 6 of the Convention: Education, training and public awareness.

7. Development and transfer of technologies.

8. Research and systematic observation.

9. Implementation of Article 4, paragraphs 8 and 9, of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol): programme of work.

10. Procedures and mechanisms relating to compliance under the Kyoto Protocol: organizational matters related to the joint working group.

11. Activities implemented jointly under the pilot phase.

12. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.

13. Report on the session.

III. ANNOTATIONS TO THE PROVISIONAL AGENDA

1. Opening of the session

4. The tenth session of the SBSTA is scheduled to be opened by the Chairman at 3 p.m. on Monday, 31 May 1999.

2. Organizational matters

(a) Adoption of the agenda

5. The provisional agenda for the tenth session of the SBSTA is presented for adoption (see paragraph 3 above).

6. Items 9, 10, 11 and 12 have been included in the provisional agendas of both the SBSTA and the Subsidiary Body for Implementation (SBI).

7. Following consultations with the COP Bureau, it is proposed to defer further consideration of two items: the impact of single projects on emissions in the commitment period and the scientific and methodological aspects of the proposal by Brazil. These two items will be placed on the provisional agenda for the eleventh session of the SBSTA. They are also included in the current list of elements for the provisional agenda of COP 5 (see FCCC/SBI/1999/2, annex I). Meanwhile, the attention of Parties is drawn to document FCCC/SBSTA/1999/MISC.3. Parties may wish to make use of this document to prepare for their discussions on this issue at the eleventh session of the SBSTA and as a basis for any informal consultations that may be appropriate during the tenth session.

(b) Organization of the work of the session

(i) Arrangements on items 9, 10, 11 and 12 of the provisional agenda

8. It is proposed that consideration of item 9 of the provisional agenda be initiated in the SBI and followed by discussion in the SBSTA.

9. Items 10, 11 and 12 will be taken up initially in a joint meeting of the subsidiary bodies, scheduled for the morning of Tuesday, 1 June.

10. Informal consultations on item 10 will be arranged, as requested by decision 8/CP.4. These consultations will be held from 9.30 a.m. to 12 noon on Monday, 31 May, prior to the opening of the sessions of the subsidiary bodies.

11. The Chairmen of the subsidiary bodies will provide a briefing to Parties on the technical

workshop held on the Kyoto Protocol mechanisms pursuant to decision 7/CP.4 (Bonn, 9-15 April 1999) on Monday, 31 May, from 12 noon to 1 p.m.

(ii) Intergovernmental process

12. Discussions under sub-item 9 (a) of the provisional agenda of the Subsidiary Body for Implementation on improving the intergovernmental process may have implications for the delivery of inputs to the SBSTA (see FCCC/SBI/1999/2).

(iii) Documentation

13. A list of documents relating to the provisional agenda, as well as other documents available at the session, is contained in annex I below.

(iv) Schedule

14. The scheduling of meetings for the session will be based on the availability of facilities during normal working hours, when services are available for two meetings of Convention bodies with interpretation from 10 a.m. to 1 p.m. and from 3 p.m. to 6 p.m. There will also be some facilities for informal meetings without interpretation. Delegations are urged to use these facilities fully by attending all meetings promptly at the scheduled time. After consultations with the Chairmen of the subsidiary bodies, an approach for the organization of work is suggested in annex II below.

3. Cooperation with relevant international organizations

(a) Scientific organizations

15. The Chairman of the Intergovernmental Panel on Climate Change (IPCC) will present an overview of the work of that body in an oral report. It is expected that his report will include information on: the third assessment report, technology transfer, land-use, land-use change and forestry, aviation and the global atmosphere, and other topics.

(b) United Nations bodies

16. As a follow-up to discussions held by the Executive Secretary during COP 4, the secretariat is undertaking consultations with the United Nations Conference on Trade and Development (UNCTAD), the United Nations Development Programme (UNDP), the United Nations Environment Programme (UNEP) and the United Nations Industrial Development Organization (UNIDO). The objective of the consultations is to enhance cooperation with these bodies in a way which ensures that their climate change related activities, in particular their inputs to the UNFCCC process, are responsive to the needs of the process and

are organized coherently in a way which reflects the comparative advantage of each agency concerned.

17. Initially, this cooperative effort has focused on a response to decision 7/CP.4, whereby the COP requested the secretariat to draw upon relevant contributions from United Nations agencies and intergovernmental and non-governmental organizations in convening technical workshops on the Kyoto Protocol mechanisms requested by that decision. The COP also requested the secretariat to prepare a plan for facilitating capacity-building. The secretariat therefore took the initiative of obtaining information on ongoing and planned (funded and unfunded) work in the area of the Kyoto Protocol mechanisms from several United Nations agencies, notably those mentioned above. These agencies met, upon the invitation of the secretariat, to identify key areas of expertise and experience on which their future focus of work would be based. This exchange of views enabled duplication to be minimized and areas of cooperation and collaboration to be identified and agreed upon. In concrete terms, UNCTAD, UNDP, UNEP and UNIDO are contributing to methodological and technical work on the mechanisms (e.g. by providing inputs to the technical workshop on the mechanisms mandated by decision 7/CP.4), as well as to the facilitation of capacity-building. In this context, a joint project proposal for seeking supplementary funding has been developed by the secretariat in close collaboration with these agencies.

18. The secretariat will make an oral report on its efforts, as described above, to promote cooperation with relevant United Nations bodies in the area of climate change.

(c) Other conventions

19. The COP, at the third plenary meeting of its fourth session, decided that issues of mutual concern to the United Nations Framework Convention on Climate Change and the Convention on Biological Diversity (CBD) should be taken up by the subsidiary bodies at their tenth sessions. The Chairmen of the subsidiary bodies, in consultation with the rest of the Bureau, are to determine the allocation of those issues between the two subsidiary bodies. This responds to decisions by the Conference of the Parties of the CBD that invited consideration of certain matters by the Conference of the Parties of the UNFCCC. Furthermore, by decision 17/CP.4, paragraph 13, the COP took note of the cooperation between the Convention secretariat and the secretariats of the United Nations Convention to Combat Desertification (UNCCD) and the Convention on Biological Diversity, and invited the Executive Secretary to consult with the heads of the secretariats of those Conventions and report to the SBI at its eleventh session. Since the cooperation between these three secretariats is largely driven by the substantive linkages between the phenomena addressed by the conventions they support, it may be useful for questions of cooperation to be addressed initially by the SBSTA at its tenth session, in the context of this agenda item. Representatives of the three secretariats will make statements to introduce this sub-item, focusing on substantive linkages. The administrative implications of any conclusions reached may be taken up by the SBI at its eleventh session, in responding to decision 17/CP.4, paragraph 13. At that time, the SBI will be informed of any initial

administrative consequences of the co-location of the UNCCD secretariat with that of the UNFCCC.

4. National communications from Parties included in Annex I to the Convention

(a) Guidelines for the preparation of national communications

20. Pursuant to a request by the SBSTA at its eighth session (FCCC/SBSTA/1998/6, para. 40 (d)), the secretariat organized a technical workshop (Bonn, 9-11 December 1998) to develop proposals to resolve the methodological issues identified by Parties and by the secretariat while processing greenhouse gas (GHG) inventories included in second national communications. The report on part I of this first workshop, including an initial draft revised text of part I of the revised guidelines for the preparation of national communications by Annex I Parties (UNFCCC guidelines), is contained in documents FCCC/SBSTA/1999/INF.1 and Add.1. Other information presented at the workshop, such as informal papers, may be found in documents FCCC/SBSTA/1999/INF.2 and FCCC/SBSTA/1999/INF.3. Parties may wish to make use of these documents for reference purposes. Submissions from Parties on the methodological issues considered at the workshop, and on the above-mentioned initial draft revised text, are contained in document FCCC/SB/1999/MISC.5.

21. Also in response to a request by the SBSTA at its eighth session, the secretariat organized a second technical workshop (Bonn, 17-19 March 1999) to assess the responses received to the questionnaire sent to Parties on clarifications, additions and/or amendments to the UNFCCC Guidelines (see FCCC/SBSTA/1998/6, para. 30 (a), (b) and (c)). Responses from Parties to this questionnaire are contained in document FCCC/SB/1999/MISC.2.

22. The SBSTA will have before it proposed clarifications, additions and amendments to the UNFCCC guidelines, which take into consideration information from both of the above-mentioned workshops. The proposed clarifications, additions and amendments are divided into two parts:

(a) Part I (FCCC/SB/1999/1) contains a draft revised text of the UNFCCC guidelines for inventory data, taking into account the deliberations at both above-mentioned workshops. Its addendum (FCCC/SB/1999/1/Add.1) contains a proposed common format for the electronic reporting of inventory data. It is intended that this common reporting format would form part of the revised inventory section of the UNFCCC guidelines;

(b) Part II (FCCC/SB/1999/1/Add.2) refers to other aspects of the UNFCCC guidelines, including sections on projections of greenhouse gases, policies and measures, and financial resources and transfer of technology.

23. The SBSTA may wish to consider these documents with a view to reaching agreement on the proposed revisions to the UNFCCC guidelines at this session. The SBSTA may wish to

provide information to the SBI on this matter to enable the COP to adopt revised guidelines at COP 5. The adoption of revised guidelines at COP 5 would provide Annex I Parties with adequate time to prepare their third national communications. Their adoption could also expedite the implementation of the work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol (to be considered under provisional agenda item 4(c)), as some of the elements covered in that work programme would build on the revised guidelines.

24. A representative of the IPCC will present an oral progress report on its work on uncertainty and good practices in inventory management.

(b) Review process related to greenhouse gas inventories

25. The SBSTA, at its ninth session, requested the secretariat to prepare an initial report on elements of a review process related to GHG inventories, including in-depth reviews, based on the issues identified at the technical workshops referred to in paragraphs 20 and 21 above and submissions from Parties, for consideration at its tenth session, with a view to forwarding relevant information to the SBI (FCCC/SBSTA/1998/9, para. 51 (f)). The SBSTA will have before it document FCCC/SBSTA/1999/3, on elements needed for the technical review of GHG inventories aimed at improving the accuracy, consistency, comparability and transparency of data. Submissions from Parties on this matter are contained in document FCCC/SBSTA/1999/MISC.4.

26. The SBSTA may also wish to consider a technical paper on comparability of inventory data when considering this agenda item (FCCC/TP/1999/2).

27. Parties may wish to come prepared with suggestions for approaches to the technical review process of GHG inventories, including considerations on data comparisons. Parties may also wish to take these issues into account when considering possible revisions to the UNFCCC guidelines under provisional agenda item 4 (a).

28. The SBSTA may wish to provide relevant conclusions on this sub-item to the SBI to assist that body in its consideration of suggestions for the future review process under its provisional agenda item 3 (c), taking into account the views of Parties and the relevant requirements of the Kyoto Protocol.

(c) Work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol

29. At its ninth session, the SBSTA requested the secretariat to prepare a work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol to be considered at the tenth session, taking into account the activities of the IPCC and other relevant organizations, and in accordance with decision 8/CP.4 (FCCC/SBSTA/1998/9, para. 51 (h)). Parties may recall that the objective of this work programme is to formulate guidelines and modalities for the

implementation of Articles 5, 7 and 8, with a view to their subsequent adoption by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its first session (COP/MOP 1), as required by the Protocol.

30. The work programme prepared by the secretariat in response to the above-mentioned mandate is contained in document FCCC/SB/1999/2. The work programme is proposed for consideration under this agenda item because the activities are closely related to, and may be viewed as an extension of, agenda items 4 (a) and 4 (b). The work programme is also reflected in the proposed programme budget for the next biennium (FCCC/SBI/1999/4). The SBSTA may wish to consider this document, with a view to adopting the work programme, with any modifications, at its tenth session. The SBSTA may wish to forward its conclusions to the SBI to enable that body to consider those elements relevant to Articles 7 and 8 (item 3 (c) of the SBI provisional agenda).

31. The SBSTA may wish to provide guidance to the secretariat on actions required to ensure the timely completion of the work programme by COP 6. The SBSTA may wish to consider the involvement of other relevant international organizations in the work programme. In addition, Parties may wish to note the relevance of the work programme to the consideration of procedures and mechanisms relating to compliance under the Kyoto Protocol and the mechanisms pursuant to Articles 6, 12, and 17 of the Kyoto Protocol.

5. Methodological issues

(a) Land-use, land-use change and forestry (decision 1/CP.3, paragraph 5 (a))

32. The SBSTA, at its ninth session, requested the secretariat to organize a second SBSTA workshop, with participation by experts, including those engaged in the IPCC process, to focus on issues related to Article 3.4 of the Kyoto Protocol, such as methodologies, uncertainties, research and data needs, and those identified in document FCCC/SBSTA/1998/INF.1 and in submissions from Parties, and to further elaborate on issues arising from the first workshop[2] (FCCC/SBSTA/1998/9, para. 34 (c)). A report on the second workshop (Indianapolis, 26-28 April 1999) will be before the SBSTA in document FCCC/SBSTA/1999/INF.5.

33. In its decision 9/CP.4, the COP requested the SBSTA to consider, at its tenth session, the requirements necessary to fulfil the provisions of the first sentence of Article 3.4 of the Kyoto Protocol. By its same decision, the COP requested the secretariat to compile, for consideration by the SBSTA at its tenth session, a list of policy and procedural issues associated with Article 3.3 and 3.4 of the Kyoto Protocol, based on existing submissions, and any further submissions, by Parties. In response to this mandate, the secretariat has prepared document FCCC/SBSTA/1999/5.

---

[2] Rome, 24-25 September 1998.

34. Submissions by Parties on all the issues mentioned above relating to Article 3.3 and 3.4 of the Kyoto Protocol, including on issues to be considered at the second workshop, are contained in document FCCC/SBSTA/1999/MISC.2 and Add.1.

35. The SBSTA may wish to consider the above-mentioned documents, with a view to continuing its deliberations and providing any further guidance to the secretariat that may be required.

36. A representative of the IPCC will provide the SBSTA with an oral progress report on the preparation of the special report on land-use, land-use change and forestry.

(b) Emissions resulting from fuel used for international transportation

37. In its decision 2/CP.3,[3] the COP urged the SBSTA to further elaborate on the inclusion of emissions based upon fuel sold to ships or aircraft engaged in international transport in the overall greenhouse gas inventories of Parties.

38. At its ninth session, the SBSTA requested the secretariat to provide it with information, at its tenth session, on emissions resulting from fuel sold to ships or aircraft engaged in international transport, taking into account the ongoing work of the International Maritime Organization (IMO) and the International Civil Aviation Organization (ICAO). It also requested the secretariat to invite representatives of ICAO and IMO to report on their work to the SBSTA at its tenth session (FCCC/SBSTA/1998/9, para. 51 (i)).

39. In response to the above-mentioned request, the SBSTA will have before it document FCCC/SBSTA/1999/INF.4, containing information on issues related to fuel sold to ships and aircraft engaged in international transport, with a focus on methods for the estimation of emissions from international transportation.

40. It is anticipated that representatives of ICAO and IMO will deliver statements on their activities. A representative of the IPCC may also make a presentation on the IPCC Special Report on Aviation and the Global Atmosphere, which is expected to be available at the session.

41. Under this item, the SBSTA will have an opportunity to share up-to-date information on emissions from international aviation and shipping and their impact, and to consider any methodological issues arising from these. Parties may wish to propose an approach, including a schedule, for reaching a decision on the allocation of these emissions in the overall greenhouse gas inventories of Parties.

---

[3] For the full text of decisions adopted by the Conference of the Parties at its third session, see document FCCC/CP/1997/7/Add.1.

(c) Other matters

42. At its sixth session, the SBSTA noted and strongly supported the activities of UNEP on methods for assessing impacts of climate change and work on mitigation methods undertaken at the United Nations Environment Programme (UNEP) Collaborating Centre on Environment and Energy at Riso in Denmark (FCCC/SBSTA/1997/6, para. 28 (c)). Also, by its decision 9/CP.3, the COP requested the secretariat to continue its work on the development of methodologies for adaptation technologies, in particular decision tools to evaluate alternative adaptation strategies.

43. The SBSTA will have before it document FCCC/SBSTA/1999/4. The document includes an overview of two reports prepared by UNEP in response to the above-mentioned expression of support, namely:

(a) A Handbook on Methods for Climate Change Impact Assessment and Adaptation Strategies; and

(b) Economics of Greenhouse Gas Limitations: Methodological Guidelines.

(Parties will find electronic links to these documents on the secretariat Web site (http://www.unfccc.de).)

44. Document FCCC/SBSTA/1999/4 also summarizes the activities of the secretariat to compile and describe a list of decision tools to evaluate alternative adaptation strategies, including coastal zones, agriculture, water resources and human health. The secretariat will make available an informal paper on this subject.

45. The SBSTA may wish to take note of the information contained in document FCCC/SBSTA/1999/4, and provide guidance on these matters.

6. Article 6 of the Convention: Education, training and public awareness

46. At its eighth session, the SBSTA invited Parties to submit to the secretariat, by 14 December 1998, their views on possible means of promoting the implementation of Article 6 of the Convention. The SBSTA also invited the secretariat to put forward proposals on how to integrate Article 6 into the work programme of the SBSTA, taking into account the submissions from Parties, for consideration at its tenth session. In this context, the SBSTA also requested the secretariat to explore the development of more rigorous reporting guidelines on Article 6 for national communications (FCCC/SBSTA/1998/6, para. 37 (g)).

47. To date, only three submissions have been received by the secretariat. These have been compiled into document FCCC/SBSTA/1999/MISC.1. It has not been possible for the secretariat, on the basis of this small number of submissions, to formulate proposals on the integration of Article 6 into the SBSTA work programme. The secretariat hopes that the SBSTA

will set a new date for Parties to submit their views on possible means of promoting the implementation of Article 6 and that a sufficient number of submissions will be received to permit the preparation of a work programme on this issue for the SBSTA.

48. Parties may wish to note that the issue of Annex I reporting guidelines on Article 6 for national communications will be addressed as part of ongoing discussions on clarifications, additions and/or amendments to the UNFCCC guidelines.

7. Development and transfer of technologies

49. By its decision 4/CP.4, the COP requested the Chairman of the SBSTA to establish a consultative process on the development and transfer of technologies to consider the list of issues and questions contained in the annex to that decision, as well as any additional issues and questions subsequently identified by Parties, and to make recommendations on how they should be addressed in order to achieve agreement on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention. It also requested the Chairman of the SBSTA to report on the outcome of the consultative process to the SBSTA at its eleventh session with a view to recommending a decision for adoption by the Conference of the Parties at its fifth session.

50. The SBSTA will have available a progress report on the development and transfer of technologies (FCCC/SBSTA/1999/2). The progress report provides information on the consultative process initiated by the Chairman of the SBSTA. The report also provides information on cooperation with the Development Assistance Committee of the Organisation for Economic Co-operation and Development (OECD DAC) and information related to coastal adaptation technologies.

51. Submissions from Parties containing information on projects/programmes incorporating cooperative approaches to the transfer of technologies and proposals on how the issues and questions listed in the annex to decision 4/CP.4 should be addressed are contained in document FCCC/SBSTA/1999/MISC.5 and Add.1. In addition, a technical paper on coastal adaptation technologies (FCCC/TP/1999/1) will be available at the session.

52. The SBSTA may wish to consider the initial steps taken with regard to the consultative process on the development and transfer of technologies, with a view to providing further guidance to the Chairman of the SBSTA. The SBSTA may also wish to provide additional guidance to the secretariat concerning its work on the development and transfer of technologies, including those aspects related to coastal adaptation technologies.

53. A representative of the IPCC will make an oral report on the status of preparation of the special report on methodological and technological issues in technology transfer.

8. Research and systematic observation

54. The COP, at its fourth session, adopted a decision aimed at strengthening the global observing systems for climate, including an invitation to the agencies participating in the Climate Agenda, through the Global Climate Observing System (GCOS) secretariat, to undertake various activities to this end. The COP also requested the Convention secretariat to report results to the SBSTA at its tenth session (decision 14/CP.4).

55. A representative of the GCOS secretariat is expected to provide an oral report on the activities of that body, pursuant to decision 14/CP.4. As requested by the COP, the Convention secretariat will also provide an oral report under this item.

56. The SBSTA is invited to take note of the information presented and provide any further guidance that may be necessary on this issue.

9. Implementation of Article 4, paragraphs 8 and 9, of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol): programme of work

57. The Conference of the Parties, at its fourth session, requested the SBI and the SBSTA to continue consideration of the implementation of Article 4.8 and 4.9 of the Convention at their tenth and eleventh sessions, and to report thereon to the Conference at its fifth session (decision 5/CP.4). The COP also decided to adopt and implement the programme of work set out in the annex to that decision, including the organization of an expert workshop by September 1999, under the guidance of the Chairman of the SBSTA. It further invited Parties to submit their views on the issues to be discussed at the expert workshop, and requested the SBI and the SBSTA, on the basis of these submissions, to define the terms of reference of the expert workshop at their tenth sessions. The submissions received are contained in document FCCC/SB/1999/MISC.6.

58. The SBI may wish to initiate deliberations on this item by considering the submissions received. It may wish to communicate to the SBSTA any views on the terms of reference of the expert workshop.

59. The SBSTA may then wish to consider the submissions and any views communicated by the SBI in defining the terms of reference of the expert workshop.

10. Procedures and mechanisms relating to compliance under the Kyoto Protocol: organizational matters related to the joint working group

60. The COP, at its fourth session, established a joint working group, under the SBI and the SBSTA, to develop procedures and mechanisms relating to compliance under the Kyoto Protocol (decision 8/CP.4). The group will be convened by a joint meeting of the SBI and SBSTA. The first meeting of the group will be held on Wednesday, 2 June from 3 p.m. to 6 p.m. The Chairmen of the SBI and the SBSTA are consulting on a possible chairperson or

chairpersons for the joint working group.

61. The COP also requested the secretariat to facilitate a consultation on this matter immediately prior to the tenth sessions of the subsidiary bodies. This consultation will be held at the Hotel Maritim on Monday, 31 May, from 9.30 a.m. to 12 noon.

62. The joint working group may wish to decide its agenda at its first meeting, based on the mandate contained in decision 8/CP.4, and be ready to conduct substantive discussions on this matter. The group may also wish to consider its work programme, bearing in mind that it will need to report, through the subsidiary bodies, on progress made to COP 5, which will establish, if necessary, an ad hoc working group. The joint working group will have before it a compilation of views submitted by Parties on matters relating to compliance under the Kyoto Protocol (FCCC/SB/1999/MISC.4).

11. Activities implemented jointly under the pilot phase

63. The COP, at its fourth session, requested the subsidiary bodies to address, at their tenth sessions, the review process of the pilot phase of activities implemented jointly (AIJ), with a view to the COP taking a conclusive decision on the pilot phase, and the progression beyond that, no later than the end of the present decade (decision 6/CP.4). Parties may consider taking such a decision at the fifth session of the Conference of the Parties.

64. To facilitate this review process, Parties were invited to submit their views on the process and information on the experience gained and lessons learned with AIJ under the pilot phase. Further, Parties were again invited to provide inputs on their experience in using the uniform reporting format. The submissions received on these issues are contained in documents FCCC/SB/1999/MISC.1 and Add.1.

65. Parties will also have before them an update on AIJ under the pilot phase, including a list of reported activities (FCCC/SB/1999/INF.1). Parties may wish to take note of this information, and provide additional guidance to the secretariat for its work on this issue, as necessary.

12. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol

66. By its decision 7/CP.4, the COP agreed on a work programme on the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol, including a list of elements annexed to that decision.

67. In the same decision, the COP identified steps to be taken in fulfilling this work programme in the period leading up to, and at, the tenth sessions of the subsidiary bodies, notably the convening of two technical workshops before 15 April 1999 (which were merged into one workshop and held at Bonn, 9-15 April 1999) and consideration of the following documents:

(a) A compilation of further proposals by Parties on principles, modalities, rules and

guidelines for the mechanisms (FCCC/SB/1999/MISC.3). Any additional submissions received will be issued as addenda;

(b) A plan for facilitating capacity-building on the mechanisms under decision 7/CP.4 (FCCC/SB/1999/4); and

(c) A note by the Chairmen of the subsidiary bodies containing a synthesis of proposals by Parties on matters addressed in decision 7/CP.4, paragraph 1 (FCCC/SB/1999/5).

The documents listed under (b) and (c) will reflect the proceedings of the technical workshop.

68. As noted in paragraph 11 above, the Chairmen of the subsidiary bodies will provide a briefing to Parties on the technical workshop held on the Kyoto Protocol mechanisms pursuant to decision 7/CP.4 (Bonn, 9-15 April 1999) on Monday, 31 May, from 12 noon to 1 p.m.

69. Parties may wish to take note of the proposals contained, and information provided, in the above-mentioned documents, and give further guidance to the Chairmen of the subsidiary bodies and the secretariat on modalities for advancing the work programme under decision 7/CP.4 in support of the intergovernmental process leading up to COP 6.

13. Report on the session

70. Because of the time that is likely to be needed for considering all items on the provisional agenda, a complete draft text of the report may not be available at the end of the session. The SBSTA may wish to adopt decisions or substantive conclusions, and authorize the Rapporteur to complete the report after the session with the guidance of the Chairman and the assistance of the secretariat. Subject to the availability of sufficient time for their translation, conclusions will be made available in all languages.

Annex I

DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AT ITS TENTH SESSION

Documents prepared for the session

FCCC/SBSTA/1999/1	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBSTA/1999/2	Development and transfer of technologies. Progress report
FCCC/SBSTA/1999/3	National communications from Parties included in Annex I to the Convention. Review process related to greenhouse gas inventories. Elements of a review process
FCCC/SBSTA/1999/4	Methodological issues. Information on impacts, adaptation and mitigation assessment methods
FCCC/SBSTA/1999/5	Methodological issues. Land-use, land-use change and forestry (decision 1/CP.3, paragraph 5(a)). List of policy and procedural issues associated with Article 3.3 and 3.4 of the Kyoto Protocol
FCCC/SBSTA/1999/INF.1	National communications from Parties included in Annex I to the Convention. Guidelines for the preparation of national communications. Report on the workshop on methodological issues related to greenhouse gas inventories
FCCC/SBSTA/1999/INF.1/Add.1	National communications from Parties included in Annex I to the Convention. Guidelines for the preparation of national communications. Report on the workshop on methodological issues related to greenhouse gas inventories. Addendum. Draft revised text of the UNFCCC guidelines for reporting inventory data by Annex I Parties
FCCC/SBSTA/1999/INF.2	National communications from Parties included in Annex I to the Convention. Guidelines for the preparation of national communications. Comparison of greenhouse gas inventories submitted by Parties using own national methodologies with those obtained using the IPCC default methodologies

FCCC/SBSTA/1999/INF.3	National communications from Parties included in Annex I to the Convention. Guidelines for the preparation of national communications. Effects of recalculation of the greenhouse gas inventories of the base and subsequent years on assigned amounts and on emission limitation and reduction commitments of Annex I Parties
FCCC/SBSTA/1999/INF.4	Methodological issues. Emissions resulting from fuel used for international transportation. Information on emissions resulting from fuel sold to ships or aircraft engaged in international transport
FCCC/SBSTA/1999/INF.5	Methodological issues. Land-use, land-use change and forestry (decision 1/CP.3, paragraph 5). Report on the second SBSTA workshop on land-use, land-use change and forestry related to the Kyoto Protocol
FCCC/SBSTA/1999/MISC.1	Article 6 of the Convention: Education, training and public awareness. Submissions from Parties
FCCC/SBSTA/1999/MISC.2 and Add.1	Methodological issues. Land-use, land-use change and forestry (decision 1/CP.3, paragraph 5(a)). Issues to be considered at the second SBSTA workshop on land-use, land-use change and forestry. Submissions from Parties on Article 3.3 and 3.4 of the Kyoto Protocol
FCCC/SBSTA/1999/MISC.3	Methodological issues. Analyses of the information provided by the delegation of Iceland. Submissions from Parties
FCCC/SBSTA/1999/MISC.4	National communications from Parties included in Annex I to the Convention. Review process related to greenhouse gas inventories. Submissions from Parties
FCCC/SBSTA/1999/MISC.5 and Add.1	Development and transfer of technologies. Projects and programmes incorporating cooperative approaches to the transfer of technologies and responses on how the issues and questions listed in the annex to decision 4/CP.4 should be addressed, as well as suggestions for additional issues and questions. Submissions from Parties

FCCC/SB/1999/1	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories)
FCCC/SB/1999/1/Add.1	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories). Addendum. Common reporting format
FCCC/SB/1999/1/Add.2	Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part II of the reporting guidelines on other issues). Addendum. Policies and measures and projections, financial resources and transfer of technology, and other issues
FCCC/SB/1999/2 and Corr.1	Work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol
FCCC/SB/1999/4	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Plan for facilitating capacity-building under decision 7/CP.4
FCCC/SB/1999/5	Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Synthesis of proposals by Parties on principles, modalities, rules and guidelines. Note by the Chairmen
FCCC/SB/1999/INF.1	Activities implemented jointly under the pilot phase. Update on activities implemented jointly
FCCC/SB/1999/MISC.1 and Add.1	Views on the review process of activities implemented jointly under the pilot phase and information on experience gained and lessons learned, including on the uniform reporting format. Compilation of submissions from Parties
FCCC/SB/1999/MISC.2	Clarifications, additions and/or amendments to the revised guidelines for the preparation of national communications from Parties included in Annex I to the Convention, the scope of the third national communications, and the scope and modalities of the review process for the third national communications including in-depth reviews, in the context of the Kyoto Protocol. Submissions from Parties

FCCC/SB/1999/MISC.3	Principles, modalities, rules and guidelines for the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol. Submissions from Parties
FCCC/SB/1999/MISC.4	Procedures and mechanisms relating to compliance under the Kyoto Protocol. Submissions from Parties
FCCC/SB/1999/MISC.5	Comments from Parties on methodological issues related to possible clarifications, additions and amendments to the inventory section of the revised guidelines for tl.e preparation of national communications by Annex I Parties
FCCC/SB/1999/MiSC.6	Views on issues to be discussed in the expert workshop on the implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Submissions from Parties

Other documents for the session

FCCC/CP/1998/16	Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to 14 November 1998. Part One: Proceedings
FCCC/CP/1998/16/Add.1	Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to 14 November 1998. Addendum. Part Two: Action taken by the Conference of the Parties at its fourth session
FCCC/SBSTA/1998/9	Report of the Subsidiary Body for Scientific and Technological Advice on its ninth session, Buenos Aires, 3-10 November 1998
FCCC/SBSTA/1998/6	Report of the Subsidiary Body for Scientific and Technological Advice on its eighth session, Bonn, 2-12 June 1998
FCCC/SBSTA/1997/6	Report of the Subsidiary Body for Scientific and Technlogical Advice on its sixth session, Bonn, 28 July - 5 August 1997
FCCC/TP/1999/1	Technical paper: Coastal adaptation technologies
FCCC/TP/1999/2	Technical paper: Report on data comparisons

Documents available for reference only

FCCC/CP/1998/6	Development and transfer of technologies (decision 13/CP.1). Progress report on transfer of technology: draft work programme
FCCC/CP/1997/7/Add.1	Report of the Conference of the Parties on its third session, held at Kyoto from 1 to 11 December 1997. Part Two: Action taken by the Conference of the Parties at its third session
FCCC/CP/1996/15/Add.1	Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Part Two: Action taken by the Conference of the Parties at its second session
FCCC/CP/1995/7/Add.1	Report of the Conference of the Parties on its first session, held at Berlin from 28 March to 7 April 1995. Part Two: Action taken by the Conference of the Parties at its first session
FCCC/SBSTA/1998/INF.1	Methodological issues. Issues related to land-use change and forestry

Annex II

PROPOSED SCHEDULE OF WORK FOR THE TENTH SESSIONS OF THE SUBSIDIARY BODIES

	Monday 31 May	**Tuesday 1 June**	**Wednesday 2 June**	**Thursday 3 June**	**Friday 4 June**
10 a.m. to 1 p.m.	*Consultations on compliance (9:30 a.m. - 12 a.m.)* *Briefing by the Chairmen on the technical workshops on the Kyoto Protocol mechanisms (12 -1 p.m.)*	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI Items 6, 7 and 8	SBSTA item 7 SBI items 3, 10, and 11 (*continued, as necessary*)	*Informals*	SBSTA item 9 *Informals*
3 p.m. to 6 p.m.	SBSTA items 1, 2, 3, 6 and 8 SBI items 1, 2, 4 and 5 *(4 p.m-6 p.m)*	SBSTA items 4 and 5 SBI items 4 and 6 (*continued, as necessary*) and 9, 10, and 11	Joint working group on compliance *Informals*	*Informals*	Joint working group on compliance *Informals*

Note: --- = parallel meetings

(continued)

	Monday 7 June	Tuesday 8 June	Wednesday 9 June	Thursday 10 June	Friday 11 June
10 a.m. to 1 p.m.	SBSTA items 3, 4 (b), 5, 6 and 8	*Informals*	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI items 6, 7 and 8	Joint working group on compliance	[JOINT MEETING] SBSTA items 10, 11 and 12 SBI items 6, 7 and 8
			SBSTA items 4 (a), 7 and 9* *(and outstanding items, as necessary)*		
	Informals		SBI items 3, 4, 5, 9, 10, and 11*	*Informals*	
3 p.m. to 6 p.m.	*Informals*	Joint working group on compliance	*Informals*	*Informals*	SBSTA item 13
		Informals			SBI item 12

Note: --- = parallel meetings

* The SBI and the SBSTA will take up these items under their respective agendas after the joint meeting, if there is time.